

# An Elegant Weapon for a More Civilized Age



# Solving an Easy Problem

- What are the input types? What is the output type? Give example input/output pairs.
- Which input represents the domain of the recursion, *i.e.*, which input becomes smaller? How is problem size defined?
- What function is used to produce smaller problem instances?
- What functions can construct the output type?
- What is the output value when the problem is smallest?

# Solving an Easy Problem (contd.)

- How can a problem instance be reduced to one or more smaller problem instances?
- Is your case analysis correct and complete?
- If an input can be of more than one type, e.g., sometimes an atom, sometimes a pair, then you will need to provide a case for each type.

# Solving a Hard Problem

- Identify one (or more) subproblems that would make the hard problem into an easy problem if solved.
- Give example input/output pairs for helper functions which would solve the subproblems.
- Define the helper functions and test your solutions.
- If any of the subproblems are themselves hard, then identify additional helper functions which would permit you to solve *them*.

# Debugging Imperative Programs

- An imperative program is understood by the programmer as a process which transforms the state of an abstract machine.
- The state of the abstract machine is comprised of the values of variables and the contents of the stack and heap.
- By observing how the values of variables change over time, the programmer verifies that the process is defined correctly.

# Debugging Functional Programs

- A functional program is understood by the programmer as the definition of the solution to a problem.
- A functional programmer fixes errors by reformulating this definition using new terms.
- These terms are the solutions of subproblems each of which can be independently verified by testing.
- A functional program is debugged by rewriting it using simpler and simpler pieces until each piece is demonstrably correct.

# Compiling Function Calls in C

- A function's *local environment* consists of the values bound to its parameters and local variables.
- When a function is called, the local environment of the calling function is pushed onto the *call stack*.
- The saved local environment is termed an *activation record*.
- A *return* statement pops the call stack and restores the local environment.

# Recursion is Expensive!

- Repeatedly saving and restoring the contexts associated with function calls requires time.
- The saved contexts cause the call stack to grow.

# Saving and Restoring Contexts

```
call stack push □ void bar(int i) {  
    int j = 0;  
    while (j++ < i) putChar('.');  
    return;  
}  
call stack pop □  
context saved □  
context restored □  
int foo(int i) {  
    int j = 7; □ local variable  
    bar(j); □ function call  
    return i + j; □ restored context used  
}
```

parameter  
↓

# $n!$ Two Ways

```
int fact(int n) {  
    if (n == 0) return 1;  
    else return n * fact(n-1);  
}
```

```
int fact(int n, int acc) {  
    if (n == 0) return acc;  
    else return fact(n-1, acc*n);  
}
```

# $n!$ Two Ways

```
int fact(int n) {  
    if (n == 0) return 1;  
    else return n * fact(n-1);  
}
```

5	1
4	5
3	20
2	60
1	120

```
int fact(int n, int acc) {  
    if (n == 0) return acc;  
    else return fact(n-1, acc*n);  
}
```

# $n!$ Two Ways

```
int fact(int n) {  
    if (n == 0) return 1;  
    else return n * fact(n-1);  
}  
  
↑  
context disregarded  
  
↑ ↑  
context saved context restored  
  
↓  
restored context used
```

```
int fact(int n, int acc) {  
    if (n == 0) return acc;  
    else return fact(n-1, acc*n);  
}
```

# $n!$ Two Ways

```
int fact(int n) {  
    if (n == 0) return 1;  
    else return n * fact(n-1);  
}  
  
↑  
context disregarded  
  
↑  
context saved  
  
↑  
context restored  
  
|  
restored context used
```

```
int fact(int n, int acc) {  
    if (n == 0) return acc;  
    else return fact(n-1, acc*n);  
}  
  
↑  
context disregarded  
  
↑  
context saved  
  
↑  
context restored
```

# Sisyphus



# Tail Call Optimization

- A good compiler\* will recognize the pointlessness of the push-pop sequence and compile the tail call as a jump.
- This saves the expense of saving and restoring the local environment.
- The call stack does not grow.
- Tail recursion is as efficient as iteration!

\*gcc optimizes tail calls when you use -O3 or higher.

# Compiler Object Code

gcc -O1

fact:

```
push    %ebp  
movl    %esp, %ebp  
subtraction    subl    $8, %esp  
movl    8(%ebp), %ecx  
movl    12(%ebp), %edx  
movl    %ecx, %eax  
testl   %edx, %edx  
je     .L1  
leal    -1(%edx), %eax  
movl    %eax, 4(%esp)  
multiplication    movl    %ecx, %eax  
imull   %edx, %eax  
movl    %eax, (%esp)  
call    fact  
.L1:  
    movl    %ebp, %esp  
function call    popl    %ebp  
pop    %ebp  
ret
```

gcc -O4

fact:

```
push    %ebp  
movl    %esp, %ebp  
movl    8(%ebp), %eax  
movl    12(%ebp), %edx  
.p2align 4,,15  
.L8:  
    testl  %edx, %edx  
    je     .L9  
multiplication    imull  %edx, %eax  
subtraction    decl   %edx  
jump    jmp    .L8  
.L9:  
    popl    %ebp  
    ret
```

loop body

function body

# Fibonacci Numbers Three Ways

```
int fib(int n) {  
    if (n < 2) return n;  
    else return fib(n-1) + fib(n-2);  
}
```



$n$	0	1	2	3	4	5	6	7	8	...
$\text{fib}(n)$	0	1	1	2	3	5	8	13	21	...

# Fibonacci Numbers Three Ways

```
int fib(int n) {  
    if (n < 2) return n;  
    else return fib(n-1) + fib(n-2);  
}
```



$O(2^n)$  space and time  
complexity!

# Fibonacci Numbers Three Ways

```
int fib(int n) {  
    int temp;  
    int acc0 = 0, acc1 = 1;  
    while (n > 0) {  
        temp = acc0;  
        acc0 = acc1;  
        acc1 += temp;  
        n--;  
    }  
    return acc0;  
}
```

n	acc0	acc1
→ 5	0	1
4	1	1
3	1	2
2	2	3
1	3	5
0	→ 5	8

**BOREDOM:**  
the desire  
for desires.

--LEO TOLSTOY



# Fibonacci Numbers Three Ways

```
      5      0      1  
int fib(int n, int acc0, int acc1) {  
    if (n == 0) return acc0;  
    else return fib(n-1, acc1, acc0+acc1);  
}
```

4 1 1

# Fibonacci Numbers Three Ways

→ 5	0	1
4	1	1
3	1	2
2	2	3
1	3	5
0	→ 5	8

```
int fib(int n, int acc0, int acc1) {  
    if (n == 0) return acc0;  
    else return fib(n-1, acc1, acc0+acc1);  
}
```

# Fibonacci Numbers Three Ways

```
int fib(int n, int acc0, int acc1) {  
    if (n == 0) return acc0;  
    else return fib(n-1, acc1, acc0+acc1);  
}
```



O(1) space and O(n) time  
and no temporary variables!

# Tail Positions

- *Tail positions* are shown in red:
  - (**if**  $\text{pred}$   $\text{val}_1$   $\text{val}_2$ )
  - (**cond**  $(\text{pred}_1 \text{ val}_1)$  ...  $(\text{pred}_{N-1} \text{ val}_{N-1})$   
 $(\text{else } \text{val}_N))$
  - (**or**  $\text{pred}_1$   $\text{pred}_2$  ...  $\text{pred}_{N-1}$   $\text{pred}_N$ )
  - (**and**  $\text{pred}_1$   $\text{pred}_2$  ...  $\text{pred}_{N-1}$   $\text{pred}_N$ )
- These positions within special forms in tail positions are also tail positions!

# Identify the Tail Positions

- (and a b (if x y z) c)
- (if a (if x y z) (if u v w) )
- (or a (and a b) )
- (if a (or b c d) e)
- (cond (a (if b c d) ) (x y) (else z) )
- (if (if a b c) x y)
- (cond (x y) ((if a b c) d) (else (or u v) ) )

# Identify the Tail Positions

- (and a b (if x y z) c)
- (if a (if x y z) (if u v w) )
- (or a (and a b) )
- (if a (or b c d) e)
- (cond (a (if b c d) ) (x y) (else z) )
- (if (if a b c) x y)
- (cond (x y) ((if a b c) d) (else (or u v) ) )

# $O(2^n)$ Space Fibonacci in Scheme

```
(define fib
  (lambda (n)
    (if (< n 2)
        n
        (+ (fib (- n 1)) (fib (- n 2)))))))
```

The diagram illustrates the space usage of the Scheme Fibonacci function. Two red arrows point from the labels "non-tail position" to the recursive call lines in the code. The first arrow points to the leftmost recursive call, and the second arrow points to the rightmost recursive call.

# O(1) Space Fibonacci in Scheme

```
(define fib
  (lambda (n acc0 acc1)
    (if (= n 0)
        acc0
        (fib (- n 1) acc1 (+ acc0 acc1))))))
```



*tail position*



# Let It Be

```
> (let ((x 2) (y 3)) (+ x y))  
5
```

```
> (let ((x 2)) (let ((x 3)) (+ x x))  
6  
      ↑  
    shadowed
```

```
> (let ((x 2)) (let ((y x)) (+ y y))  
4
```

```
> (let* ((x 2) (y x)) (+ y y))  
4
```

# let, let\* and letrec special-forms

collateral

sequential

recursive

(**let** (( $var_1$   $val_1$ )  
      ( $var_2$   $val_2$ )

•

•

•

      ( $var_N$   $val_N$ ))

*body*

(**let\*** (( $var_1$   $val_1$ )  
      ( $var_2$   $val_2$ ))

•

•

•

      ( $var_N$   $val_N$ ))

*body*

(**letrec** (( $var_1$   $val_1$ )  
      ( $var_2$   $val_2$ ))

•

•

•

      ( $var_N$   $val_N$ ))

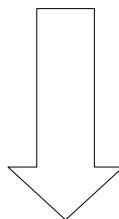
*body*



scope of  $var_1$

# let is just lambda!

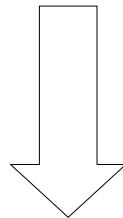
```
(let ((var1 val1)  
       (var2 val2)  
       .  
       .  
       .  
       (varN valN)) )  
  body)
```



```
((lambda (var1 var2 ... varN) body)  
  (val1 val2 ... valN)) )
```

# Example

```
(let ((x 2) (y 3)) (+ x y))
```



```
((lambda (x y) (+ x y)) 2 3)
```

# **let\*** is just nested **let**'s!

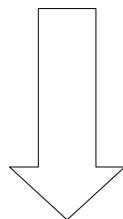
```
(let* ((var1 val1)  
        (var2 val2)  
        .  
        .  
        .  
        (varN valN))  
  body)
```



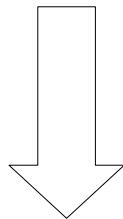
```
(let ((var1 val1))  
      (let ((var2 val2))  
          .  
          .  
          .  
          (let ((varN valN))  
            body) ... ))
```

# Example

```
(let* ((x 2) (y 3)) (+ x y))
```



```
(let ((x 2)) (let ((y 3)) (+ x y)))
```



```
((lambda (x) ((lambda (y) (+ x y)) 3) 2)
```

# Also Tail Positions!

collateral

sequential

recursive

<b>(let</b> (( $var_1$ $val_1$ ) ( $var_2$ $val_2$ ) • • • ( $var_N$ $val_N$ )) <i>body</i> )	<b>(let*</b> (( $var_1$ $val_1$ ) ( $var_2$ $val_2$ ) • • • ( $var_N$ $val_N$ )) <i>body</i> )	<b>(letrec</b> (( $var_1$ $val_1$ ) ( $var_2$ $val_2$ ) • • • ( $var_N$ $val_N$ )) <i>body</i> )
--	---	--

# Lisp

