

**CS 361**  
**Data Structures & Algs**  
**Lecture 5**

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# Reminders

- Written Assignment 1 - due Thursday.  
Exercises 1,2,3,4,6 from Chapter One
- Reading: through sec 2.4 assigned for today.  
Ask questions, and re-read!

# Last Week

Analyzing the Gale-Shapley algorithm

Bounding the running time

At most  $n^2$  proposals.

**To do:** handle proposals fast.

Proving correctness

3 aspects: crashes? finishes? output ok?

invariants

4/5 Examples: Algorithms Problem

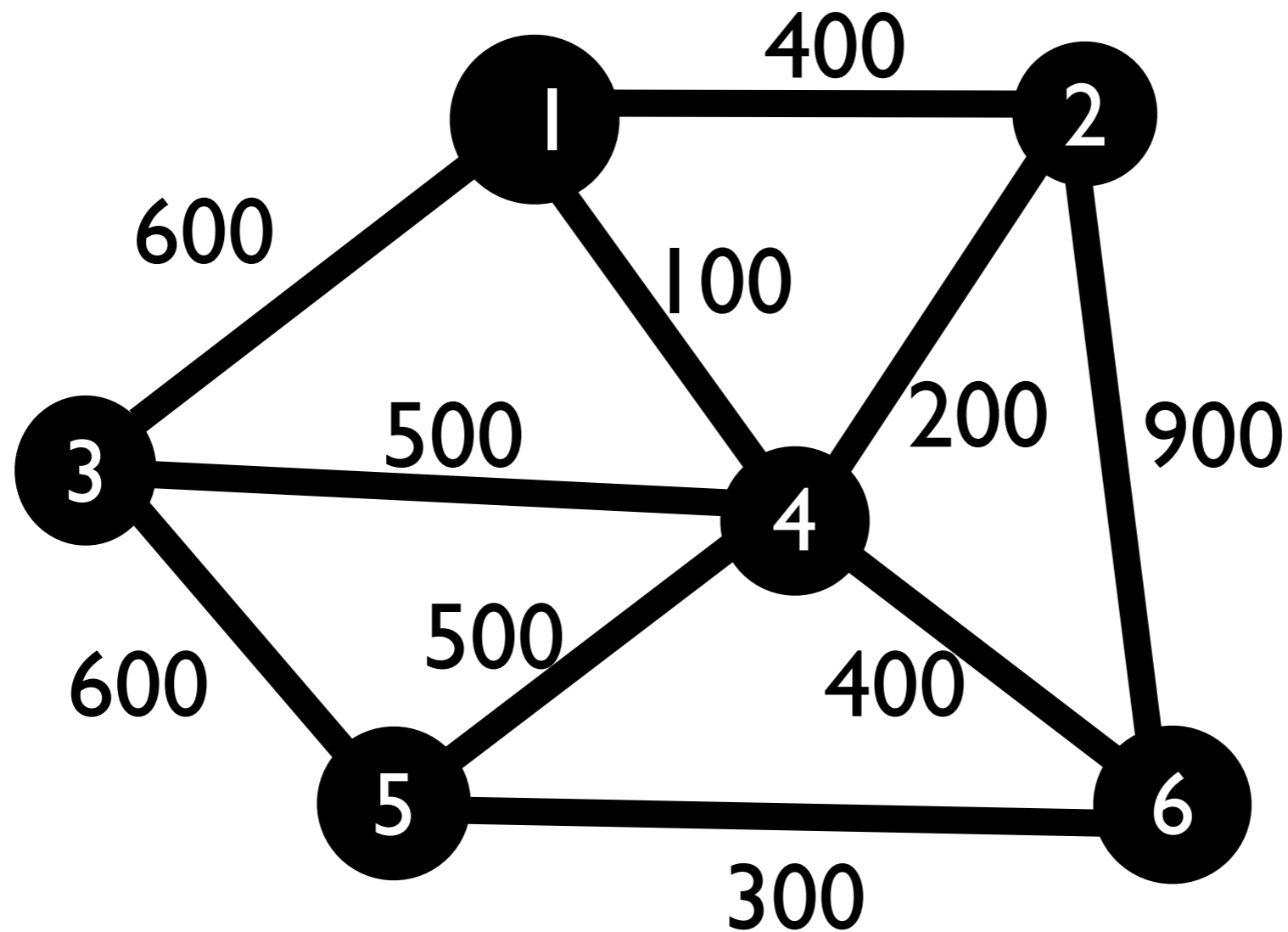
# Today

Traveling Salesman

Running times.

“Big O” notation.

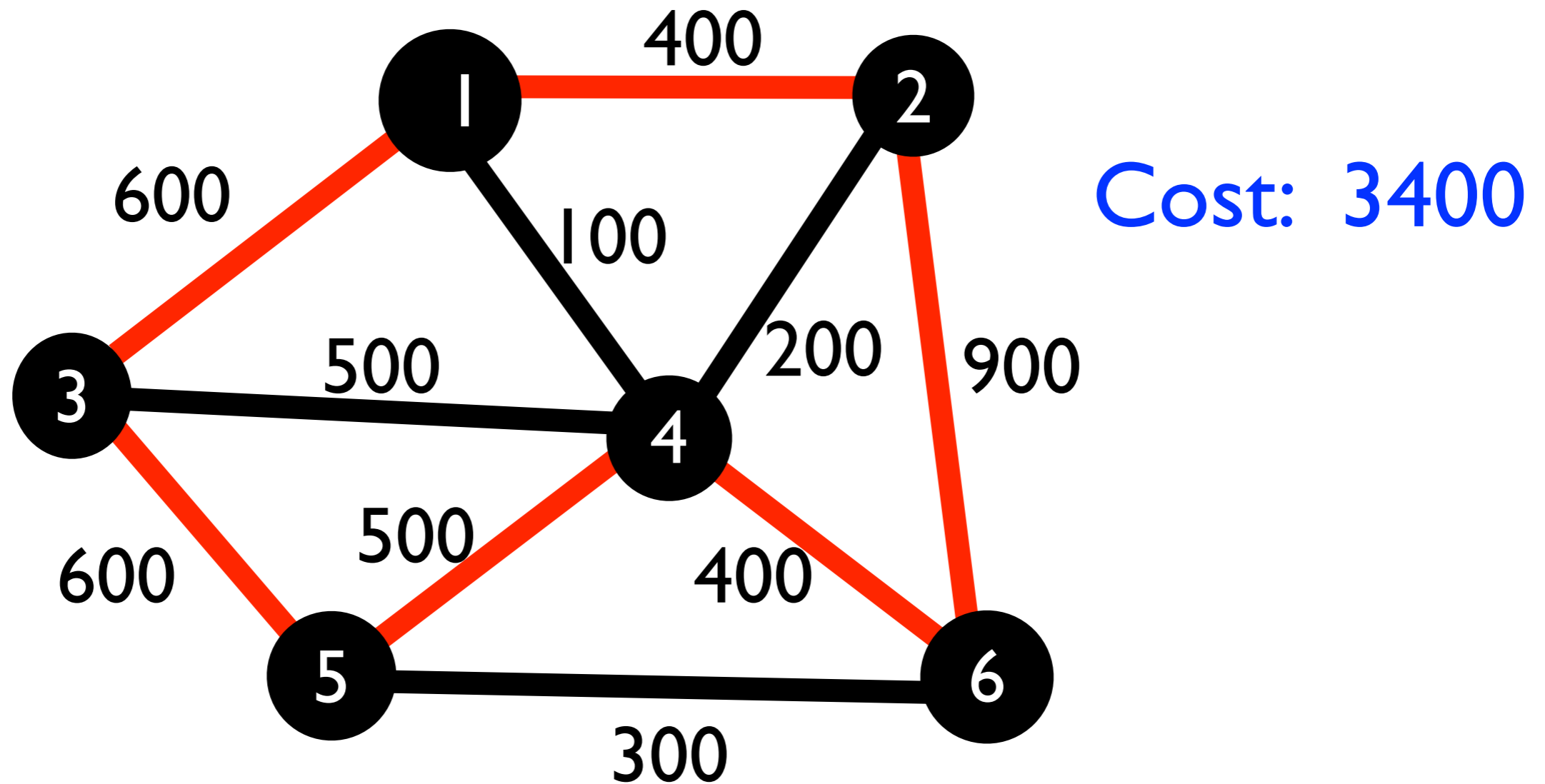
# Traveling Salesman



Find: Loop visiting each town exactly once.

Minimize total cost.

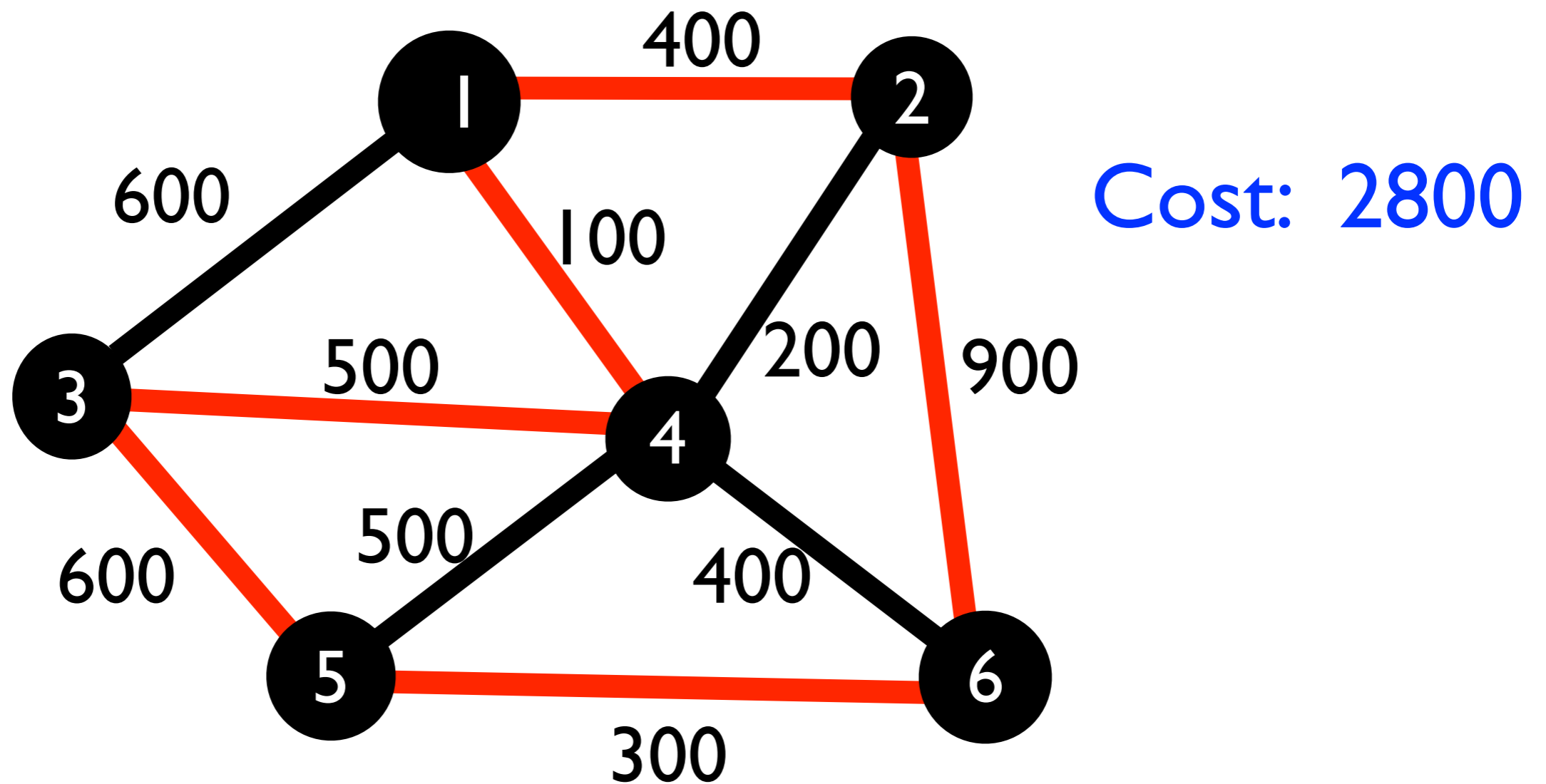
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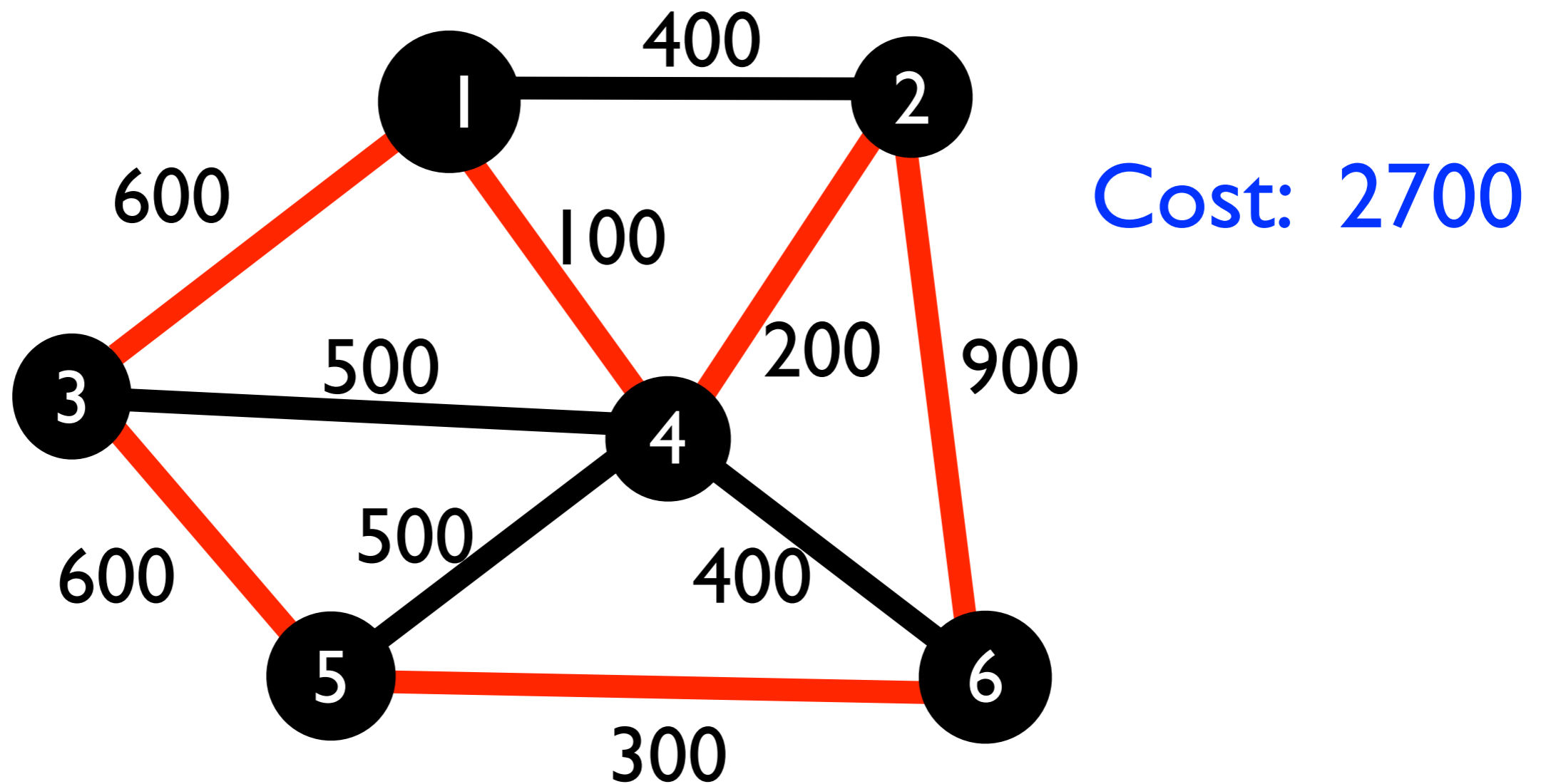
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$$n! = n \cdot (n-1) \cdot (n-2) \cdot \dots \cdot 2 \cdot 1$$

How can we avoid checking them all?

# Traveling Salesman

A **dynamical programming** solution.

Start at city 1. Consider all ways to split the  $n$  cities into a “first half” and “second half”. Example: middle city is 5, first half visits 2 and 6. second half visits 3 and 4.

Find the best solution to each half, then add them.

What will this cost? Less than  $(n!)$  ?

# How many splits?

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# choices: middle city?

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overall:  $< n 2^n$

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$n$   $(2^n)$   $(n/2)!$

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Can we do better still?

# Better Still, Recurse!

Instead of using brute force to solve the problem on the first half, instead, recursively apply the same idea.

Divide into two “halves” of size roughly  $n/4$ . Find the shortest route through each half, recursively using the same idea.

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*Dynamic programming*: keep track of solutions to all sub-problems, and re-use when possible. (i.e., memoize)

# Intermission

We stopped here on Tuesday 9/4. The remaining slides are included, but will also be covered in Thursday's lecture.

# Analysis, DP solution

How many sub-problems will we look at, total?

Each looks like this: start city, intermediate cities, end city.

$\leq n^2 2^n$  possibilities

Time to solve one sub-problem? Loop through all the ways to split it into 2 subproblems. Sum up the values for those, keeping the min.  $\text{constant} * n^2 2^n$

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(b) if we have time for 1 trillion operations, or 1 quadrillion, how big is  $n$ ?

For  $n^2 2^n$ , can do 30, or 40.

For  $n!$ , can do 15, or 18.

# NP

NP is the class of YES/NO decision problems, where, for every input of size  $n$ , if the correct output is YES, then there exists an **efficiently checkable** proof of that fact.

TSP asks, “Is there a tour of these cities that costs at most  $M$ ?”

This is in NP, because, when the answer is YES, then, if I give you the tour, you can verify the YES answer. This does not mean finding such a tour can be done quickly.

# NP

NP is the class of YES/NO decision problems, where, for every input of size  $n$ , if the correct output is YES, then there exists an **efficiently checkable** proof of that fact.

We say a problem is *NP-hard* if it could be used to solve every problem in NP.

Say a problem is *NP-complete* if it is NP-hard *and* a member of NP.

# P vs NP

This **multimillion-dollar** problem asks, are there any problems in NP, for which it is not possible to efficiently determine the answer when a proof is NOT GIVEN?

In other words, is it easier to verify a proof than to come up with one on your own?

TSP is NP-complete. This means, if you can find an efficient algorithm to solve it, you have proven every problem in NP is easy.

# Efficient Algorithms

Our Dynamic Programming algorithm for TSP was a big improvement, but is still not efficient.

To be efficient, when the input size is  $n$ , our algorithm should run in, say, time  $10n$ , or perhaps  $50n^2$ , or  $100n^3 + 50n \log(n)$ . To be general, let's say any function that is less than some power of  $n$ , such as  $n^{10}$ . For short,  $\text{poly}(n)$ .

# Big O notation

We want to be able to **reason carefully** about running times, but **without “sweating irrelevant details.”**

Who cares if the running time is  $n^3$  versus  $n^3 + 10.5n^2 - 0.5n$ ? It can matter only for a few small values of  $n$ . In the “big picture” what really matters is, approximately how big an input can I handle in the trillion or so steps I have time to do.

Big O helps us achieve these goals.

# Big O, formally

Suppose  $g$  is a function describing a running time.  $g(n)$  tells us the amount of time our program runs on an input of length  $n$ . The notation  $O(g)$  refers to the class of all functions that, for large inputs, do not grow faster than a constant times  $g$ . In other words, a function  $f$  is in  $O(g)$  if there exists a constant  $C$  such that, for every  $n$ ,  $f(n) \leq C g(n)$ . \*see caveat in a few slides.



# Big O, informally

One generally writes “ $f = O(g)$ ” to indicate that  $f$  is in the function class  $O(g)$ . This is just a shorthand, and can lead you into trouble if you try to use any laws of “ $=$ ”.

For instance, it would be correct to write  $20n^2 + 6n = O(n^3)$  and also to write  $20n^2 + 6n = O(n^2)$ . However,  $O(n^2)$  and  $O(n^3)$  are not equal. Exercises 2.5(ab) illustrate some further pitfalls.

# Caveat - zeros

$10(n-1)^3 = O(n^4)$ . Why?

But, is  $10n^3 = O((n-1)^4)$ ?

We want it to be.

But, for  $n=1$ , there is no constant  $C$  that could work. Why?  $(1-1)^4 = 0$ .

Fancier definition:  $f = O(g)$  means there exists  $C, n_0$ , such that, for every  $n \geq n_0$ ,  $f(n) \leq Cg(n)$ .

# Why define it like that?

$f = O(g)$  means:

There exists  $C > 0$  and  $n_0$  such that, whenever  $n \geq n_0$ , we have  $f(n) \leq C g(n)$ .

(1) Simplifies analysis: A sequence of  $O(1)$  “atomic” steps (no recursive function calls or loops) can be replaced by a single “step” conceptually.

(2) Gets at big question: limiting growth rates for  $f$  and  $g$ .

# More on Big-O

$f = O(g)$  is a 1-sided guarantee!

We know “ $f$  is not much bigger than  $g$  (for large inputs)” but we don’t know whether “ $g$  is much bigger than  $f$  (for large inputs)”

This is a good thing! (Less to prove)

Q: What if we want a 2-sided guarantee?

A:  $\Omega$ ,  $\Theta$  notation

# $\Omega$ , $\Theta$ notation

$f = \Omega(g)$  means  $g = O(f)$ . That is,  $g$  is (up to a constant factor, and for large inputs) a lower bound on  $f$ .

$f = \Theta(g)$  means both  $f = O(g)$  and  $f = \Omega(g)$ . That is,  $f$  and  $g$  “are of the same order”

# Practice with big-O

How to prove that  $5n + 2 = O(n)$ ?

Reasoning:  $5n + 2 \leq Cn$  (goal)

Try  $C = 6$ . Plug in:  $5n + 2 \leq 6n$  solve

$2 \leq (6 - 5)n = n$ . Choose  $n_0 = 2$ .

We're now ready to fill in proof.

# Practice with big-O

How to prove that  $5n + 2 = O(n)$ ?

Proof: Let  $C = 6$ . Let  $n_0 = 2$ .

Assume  $n \geq n_0$ . Then

$$\begin{aligned} & 5n + 2 \\ &= 5n + n_0 \quad (\text{def of } n_0) \\ &\leq 6n \quad (\text{since } n \geq n_0) \\ &= Cn. \quad (\text{def of } C) \end{aligned}$$

Therefore,  $5n + 2 = O(n)$  by definition.

# Practice with big-O

How to prove that  $\log(3n^2) = O(\log(n))$ ?

Reasoning:  $\log(3n^2) \leq C \log(n)$  (goal)

LHS =  $\log(3) + \log(n^2) = \log(3) + 2 \log(n)$

Goal:  $\log(3) + 2 \log(n) \leq C \log(n)$ .

Take  $C = 3 > 2$ . Solve

$\log(3) + 2 \log(n) \leq 3 \log(n)$  for  $n$ , to find  $n_0$

$\log(3) \leq \log(n)$ . So  $n \geq 3$ . Take  $n_0 = 3$ .



# Practice with big-O

How to prove that  $\log(3n^2) = O(\log(n))$ ?

Proof: Choose  $C = 3$  and  $n_0 = 3$ .

Suppose  $n \geq n_0$ .

$$\log(3n^2)$$

$$= \log(3) + 2 \log(n) \quad (\text{arithmetic})$$

$$= \log(n_0) + 2 \log(n) \quad (\text{def of } n_0)$$

$$\leq \log(n) + 2 \log(n) = 3 \log(n) \quad (\text{since } n \geq n_0)$$

$$= C \log(n) \quad (\text{def of } C). \quad \text{Thus } f = O(g)$$

# Practice with big-O

Suppose  $f = O(g)$  and  $g = O(H)$ .

Prove:  $f = O(H)$ .

Reasoning: Goal:  $f(n) \leq C H(n)$ .

$f = O(g)$  means: There is  $C_1, n_1$  such that as long as  $n \geq n_1$  we have  $f(n) \leq C_1 g(n)$ .

$g = O(H)$  means: There is  $C_2, n_2$  such that as long as  $n \geq n_2$  we have  $g(n) \leq C_2 H(n)$ .

$f(n) \leq C_1 g(n) \leq C_1 (C_2 H(n)) = (C_1 C_2) H(n)$

# Practice with big-O

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$$f(n) \leq C_1 g(n) \leq C_1 (C_2 H(n)) = (C_1 C_2) H(n)$$

Guess  $C = C_1 C_2$

$n_0 = ?$ . Need:  $f(n) \leq C_1 g(n)$ . From top, need  $n \geq n_1$ . Need:  $g(n) \leq C_2 H(n)$ . Thus need  $n \geq n_2$ . Choose  $n_0 = \max\{n_1, n_2\}$ .

# Practice with big-O

Suppose  $f = O(g)$  and  $g = O(h)$ .

Prove:  $f = O(h)$ .

Proof:  $f = O(g)$  means: There is  $C_1, n_1$  such that as long as  $n \geq n_1$  we have  $f(n) \leq C_1 g(n)$ .

$g = O(H)$  means: There is  $C_2, n_2$  such that as long as  $n \geq n_2$  we have  $g(n) \leq C_2 H(n)$ .

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Choose  $C = C_1 C_2$ , and  $n_0 = \max\{n_1, n_2\}$ .

Suppose  $n \geq n_0$

Then

$$\begin{aligned} f(n) &\leq C_1 g(n) \leq C_1 (C_2 H(n)) = (C_1 C_2) H(n) \\ &= C H(n). \end{aligned}$$

Thus  $f = O(H)$ .

Choose  $C = C_1 C_2$ , and  $n_0 = \max\{n_1, n_2\}$ .

Suppose  $n \geq n_0$

Then

$f(n) \leq C_1 g(n)$  (since  $n \geq n_0 \geq n_1$  and above)

$\leq C_1 (C_2 H(n))$  (since  $n \geq n_0 \geq n_2$  and above)

$= (C_1 C_2) H(n)$  (arithmetic)

$= C H(n)$ . (def of  $C$ )

Thus  $f = O(H)$ .