

## CS 361, Lecture 2

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### How to analyze an algorithm?

- There are several resource bounds we could be concerned about: time, space, communication bandwidth, logic gates, etc.
- However, we are usually most concerned about time
- Recall that algorithms are independent of programming languages and machine types
- Q: So how do we measure resource bounds of algorithms

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### Today's Outline

- Intro to Asymptotic Analysis
- Why do we care?
- Another interview problem
- Some solutions to the problem
- Todo list

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### Random-access machine model

- We will use RAM model of computation in this class
- All instructions operate in serial
- All basic operations (e.g. add, multiply, compare, read, store, etc.) take unit time
- All "atomic" data (chars, ints, doubles, pointers, etc.) take unit space

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### Administrative

- Kanglin Xu, Office hours: M 4:30-6:30 and Weds 4:30 to 6:30, both in FEC 301C
- Sections: if you are in the CS dept, you must register for one of the two sections (Th 3:30-4:20 or F 1:00-1:50)
- Book: "Introduction to Algorithms" by Cormen, Leiserson, Rivest, and Stein
- Pretest due on Tuesday

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### Worst Case Analysis

- We'll generally be pessimistic when we evaluate resource bounds
- We'll evaluate the run time of the algorithm on the worst possible input sequence
- Amazingly, in most cases, we'll still be able to get pretty good bounds
- Justification: The "average case" is often about as bad as the worst case.

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## Example Analysis

- Consider the problem discussed last tuesday about finding a redundant element in an array
- Let's consider the more general problem, where the numbers are 1 to  $n$  instead of 1 to 1,000,000

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## Algorithm 1

- Create a new "count" array of ints of size  $n$ , which we'll use to count the occurrences of each number. Initialize all entries to 0
- Go through the input array and each time a number is seen, update its count in the "count" array
- As soon as a number is seen in the input array which has already been counted once, return this number

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## Algorithm 2

- Iterate through the input array, summing up all the numbers, let  $S$  be this sum
- Let  $x = S - (n + 1)n/2$
- Return  $x$

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## Example Analysis: Time

- Worst case: Algorithm 1 does  $5 * n$  operations ( $n$  inits to 0 in "count" array,  $n$  reads of input array,  $n$  reads of "count" array (to see if value is 1),  $n$  increments, and  $n$  stores into count array)
- Worst case: Algorithm 2 does  $2 * n + 4$  operations ( $n$  reads of input array,  $n$  additions to value  $S$ , 4 computations to determine  $x$  given  $S$ )

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## Example Analysis: Space

- Worst Case: Algorithm 1 uses  $n$  additional units of space to store the "count" array
- Worst Case: Algorithm 2 uses 2 additional units of space

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## A Simpler Analysis

- Analysis above can be tedious for more complicated algorithms
- In many cases, we don't care about constants.  $5n$  is about the same as  $2n + 4$  which is about the same as  $an + b$  for any constants  $a$  and  $b$
- However we do still care about the difference in space:  $n$  is very different from 2
- Asymptotic analysis is the solution to removing the tedium but ensuring good analysis

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## What is asymptotic analysis?

- A tool for analyzing time and space usage of algorithms
- Assumes input size is a variable, say  $n$ , and gives time and space bounds as a function of  $n$
- Ignores multiplicative and additive constants
- Concerned only with the *rate* of growth
- E.g. Treats run times of  $n$ ,  $10,000 * n + 2000$ , and  $.5n + 2$  all the same (We use the term  $O(n)$  to refer to all of them)

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## More Examples

- Algorithm 1 and 2 both take time  $O(n)$
- Algorithm 1 uses  $O(n)$  extra space
- But, Algorithm 2 uses  $O(1)$  extra space

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## What is Asymptotic Analysis?(II)

- Informally,  $O$  notation is the leading (i.e. quickest growing) term of a formula with the coefficient stripped off
- $O$  is sort of a relaxed version of " $\leq$ "
- E.g.  $n$  is  $O(n)$  and  $n$  is also  $O(n^2)$
- By convention, we use the smallest possible  $O$  value i.e. we say  $n$  is  $O(n)$  rather than  $n$  is  $O(n^2)$

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## Questions

Express the following in  $O$  notation

- $n^3/1000 - 100n^2 - 100n + 3$
- $\log n + 100$
- $10 * \log^2 n + 100$
- $\sum_{i=1}^n i$

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## More Examples

- E.g.  $n$ ,  $10,000n - 2000$ , and  $.5n + 2$  are all  $O(n)$
- $n + \log n$ ,  $n - \sqrt{n}$  are  $O(n)$
- $n^2 + n + \log n$ ,  $10n^2 + n - \sqrt{n}$  are  $O(n^2)$
- $n \log n + 10n$  is  $O(n \log n)$
- $10 * \log^2 n$  is  $O(\log^2 n)$
- $n\sqrt{n} + n \log n + 10n$  is  $O(n\sqrt{n})$
- $10,000$ ,  $2^{50}$  and  $4$  are  $O(1)$

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## A digression on logs

*It rolls down stairs alone or in pairs,  
and over your neighbor's dog,  
it's great for a snack or to put on your back,  
it's log, log, log!  
- "The Log Song" from the Ren and Stimpy Show*

- The log function shows up very frequently in algorithm analysis
- As computer scientists, when we use log, we'll mean  $\log_2$  (i.e. if no base is given, assume base 2)

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## Definition

- $\log_x y$  is by definition the value  $z$  such that  $x^z = y$
- $x^{\log_x y} = y$  by definition

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## Examples

- $\log 1 = 0$
- $\log 2 = 1$
- $\log 32 = 5$
- $\log 2^k = k$

Note:  $\log n$  is way, way smaller than  $n$  for large values of  $n$

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## Examples

- $\log_3 9 = 2$
- $\log_5 125 = 3$
- $\log_4 16 = 2$
- $\log_{24} 24^{100} = 100$

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## Facts about exponents

Recall that:

- $(x^y)^z = x^{yz}$
- $x^y x^z = x^{y+z}$

From these, we can derive some facts about logs

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## Facts about logs

To prove both equations, raise both sides to the power of 2, and use facts about exponents

- Fact 1:  $\log(xy) = \log x + \log y$
- Fact 2:  $\log a^c = c \log a$

**Memorize these two facts**

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## Incredibly useful fact about logs

- Fact 3:  $\log_c a = \log a / \log c$

To prove this, consider the equation  $a = c^{\log_c a}$ , take  $\log_2$  of both sides, and use Fact 2. **Memorize this fact**

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## Log facts to memorize

- Fact 1:  $\log(xy) = \log x + \log y$
- Fact 2:  $\log a^c = c \log a$
- Fact 3:  $\log_c a = \log a / \log c$

These facts are sufficient for all your logarithm needs. (You just need to figure out how to use them)

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## Questions

Simplify and give  $O$  notation for the following:

- $\log 10 * x^2$
- $\log^2 x$
- $\log \log \sqrt{n}$
- $2^{\log_4 x}$

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## Logs and $O$ notation

- Note that  $\log_8 n = \log n / \log 8$ .
- Note that  $\log_{600} n^{200} = 200 * \log n / \log 600$ .
- Note that  $\log_{100000} 30 * n^2 = 2 * \log n / \log 100000 + \log 30 / \log 100000$ .
- Thus,  $\log_8 n$ ,  $\log_{600} n^{600}$ , and  $\log_{100000} 30 * n^2$  are all  $O(\log n)$
- In general, for any constants  $k_1$  and  $k_2$ ,  $\log_{k_1} n^{k_2} = k_2 \log n / \log k_1$ , which is just  $O(\log n)$

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## Take Away

- All log functions of form  $k_1 \log k_2 n^{k_3}$  for constants  $k_1$ ,  $k_2$  and  $k_3$  are  $O(\log n)$
- For this reason, we don't really "care" about the base of the log function when we do asymptotic notation
- Thus, binary search, ternary search and k-ary search all take  $O(\log n)$  time

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## Todo

- Finish pretest, due next Tuesday!
- Sign up for the class mailing list (cs361)
- Read Chapter 3 (Growth of Functions) in textbook

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