

## CS 362, Lecture 1

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- Recall the formal definition of Big-O notation:
- A function  $f(n)$  is  $O(g(n))$  if there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for all  $n \geq n_0$

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## Today's Outline

- Administrative Info
- Asymptotic Analysis Review
- Recurrence Relation Review

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## Example

- Let's show that  $f(n) = 10n + 100$  is  $O(g(n))$  where  $g(n) = n$
- We need to give constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for all  $n \geq n_0$
- In other words, we need constants  $c$  and  $n_0$  such that  $10n + 100 \leq cn$  for all  $n \geq n_0$

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## Example

- We can solve for appropriate constants:

$$10n + 100 \leq cn \quad (1)$$

$$10 + 100/n \leq c \quad (2)$$

- So if  $n > 1$ , then  $c$  should be greater than 110.
- In other words, for all  $n > 1$ ,  $10n + 100 \leq 110n$
- So  $10n + 100$  is  $O(n)$

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## Relatives of big-O

When would you use each of these? Examples:

- " $\leq$ " This algorithm is  $O(n^2)$  (i.e. worst case is  $\Theta(n^2)$ )
- ⊖ " $=$ " This algorithm is  $\Theta(n)$  (best and worst case are  $\Theta(n)$ )
- Ω " $\geq$ " Any comparison-based algorithm for sorting is  $\Omega(n \log n)$
- o " $<$ " Can you write an algorithm for sorting that is  $o(n^2)$ ?
- ω " $>$ " This algorithm is not linear, it can take time  $\omega(n)$

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## Relatives of big-O

Recall the following relatives of big-O:

- " $\leq$ "
- ⊖ " $=$ "
- Ω " $\geq$ "
- o " $<$ "
- ω " $>$ "

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## Rule of Thumb

- Let  $f(n), g(n)$  be two functions of  $n$
- Let  $f_1(n)$ , be the fastest growing term of  $f(n)$ , stripped of its coefficient.
- Let  $g_1(n)$ , be the fastest growing term of  $g(n)$ , stripped of its coefficient.

Then we can say:

- If  $f_1(n) \leq g_1(n)$  then  $f(n) = O(g(n))$
- If  $f_1(n) \geq g_1(n)$  then  $f(n) = \Omega(g(n))$
- If  $f_1(n) = g_1(n)$  then  $f(n) = \Theta(g(n))$
- If  $f_1(n) < g_1(n)$  then  $f(n) = o(g(n))$
- If  $f_1(n) > g_1(n)$  then  $f(n) = \omega(g(n))$

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## More Examples

The following are all true statements:

- $\sum_{i=1}^n i^2$  is  $O(n^3)$ ,  $\Omega(n^3)$  and  $\Theta(n^3)$
- $\log n$  is  $o(\sqrt{n})$
- $\log n$  is  $o(\log^2 n)$
- $10,000n^2 + 25n$  is  $\Theta(n^2)$

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## Problems

True or False? (Justify your answer)

- $n^3 + 4$  is  $\omega(n^2)$
- $n \log n^3$  is  $\Theta(n \log n)$
- $\log^3 5n^2$  is  $\Theta(\log n)$
- $10^{-10}n^2 + n$  is  $\Theta(n)$
- $n \log n$  is  $\Omega(n)$
- $n^3 + 4$  is  $o(n^4)$

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## Formal Defns

- $O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$
- $\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$
- $\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

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## Formal Defns (II)

- $o(g(n)) = \{f(n) : \text{for any positive constant } c > 0 \text{ there exists } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}$
- $\omega(g(n)) = \{f(n) : \text{for any positive constant } c > 0 \text{ there exists } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}$

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## Another Example

- Let  $f(n) = 10 \log^2 n + \log n$ ,  $g(n) = \log^2 n$ . Let's show that  $f(n) = \Theta(g(n))$ .
- We want positive constants  $c_1, c_2$  and  $n_0$  such that  $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$  for all  $n \geq n_0$

$$0 \leq c_1 \log^2 n \leq 10 \log^2 n + \log n \leq c_2 \log^2 n$$

Dividing by  $\log^2 n$ , we get:

$$0 \leq c_1 \leq 10 + 1/\log n \leq c_2$$

- If we choose  $c_1 = 1$ ,  $c_2 = 11$  and  $n_0 = 2$ , then the above inequality will hold for all  $n \geq n_0$

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## In-Class Exercise

Show that for  $f(n) = n + 100$  and  $g(n) = (1/2)n^2$ , that  $f(n) \neq \Theta(g(n))$

- What statement would be true if  $f(n) = \Theta(g(n))$  ?
- Show that this statement can not be true.

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## Recurrence Relation Review

*"Oh how should I not lust after eternity and after the nuptial ring of rings, the ring of recurrence" - Friedrich Nietzsche, Thus Spoke Zarathustra*

- $T(n) = 2 * T(n/2) + n$  is an example of a *recurrence relation*
- A *Recurrence Relation* is any equation for a function  $T$ , where  $T$  appears on both the left and right sides of the equation.
- We always want to "solve" these recurrence relation by getting an equation for  $T$ , where  $T$  appears on just the left side of the equation

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## Recurrence Relations

- Whenever we analyze the run time of a recursive algorithm, we will first get a recurrence relation
- To get the actual run time, we need to solve the recurrence relation

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## Substitution Method

- One way to solve recurrences is the substitution method aka “guess and check”
- What we do is make a good guess for the solution to  $T(n)$ , and then try to prove this is the solution by induction

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## Example

- Let's guess that the solution to  $T(n) = 2 * T(n/2) + n$  is  $T(n) = O(n \log n)$
- In other words,  $T(n) \leq cn \log n$  for all  $n \geq n_0$ , for some positive constants  $c, n_0$
- We can prove that  $T(n) \leq cn \log n$  is true by plugging back into the recurrence

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## Proof

- We prove this by induction, By I.H.:  $T(n/2) \leq cn/2 \log(n/2)$

$$T(n) = 2T(n/2) + n \quad (3)$$

$$\leq 2(cn/2 \log(n/2)) + n \quad (4)$$

$$= cn \log(n/2) + n \quad (5)$$

$$= cn(\log n - \log 2) + n \quad (6)$$

$$= cn \log n - cn + n \quad (7)$$

$$\leq cn \log n \quad (8)$$

last step holds for all  $n > 0$  if  $c \geq 1$

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## Todo

- Read Syllabus
- Visit the class web page: [www.cs.unm.edu/~saia/362/](http://www.cs.unm.edu/~saia/362/)
- Sign up for the class mailing list (cs362)
- Read Chapter 3 and 4 in the text

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