

CS 561: Homework 4

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Remember: You are encouraged to work on the homework in groups, but please observe the “Star Trek” rule from the syllabus.

1. Consider a recurrence of the form

$$T(n) = aT(n/b) + f(n),$$

where $a \geq 1$ is an integer and $b > 1$ is a real constant, and $f(n) \geq 0$. Assume, as usual, that $T(n) = f(n) = \Theta(1)$ for all constant values of n . Also assume that there is a constant $K > 1$ such that

$$af(x/b) \geq Kf(x)$$

for every $x \geq b$. Let $\ell = \lfloor \log_b n \rfloor$. At level ℓ , every subproblem has constant size; let $L = \Theta(a^\ell)$ be the total cost of the leaves in the recursion tree.

- (a) Show that $L = \Theta(n^{\log_b a})$.
- (b) Prove by induction on i that, for every integer $i \in [0, \ell]$,

$$a^i f(n/b^i) \geq K^i f(n).$$

Clearly identify the base case, inductive hypothesis, and inductive step.

- (c) Use the preceding inequality to show that the cost of level j is at most a constant multiple of

$$\frac{L}{K^{\ell-j}}$$

for every $j \in [0, \ell]$. Then sum the level costs and prove that

$$T(n) = \Theta(n^{\log_b a}).$$

Be sure to justify both the upper and lower bounds.

2. Consider the recurrence

$$T(n) = T(n/3) + T(2n/3) + cn,$$

where $c > 0$ is a constant.

- (a) Explain why the version of the Master Method stated in class does not directly apply to this recurrence.
- (b) Use a recursion tree to prove that $T(n) = \Theta(n \log n)$. In your proof, show that the sum of the subproblem sizes at every level before the first leaf appears is n , show that both the shortest and longest root-to-leaf paths have length $\Theta(\log n)$, and account for the total cost of the leaves. Clearly justify both the upper and lower bounds.

3. Consider the following recursive algorithm, whose argument is any nonnegative integer n .

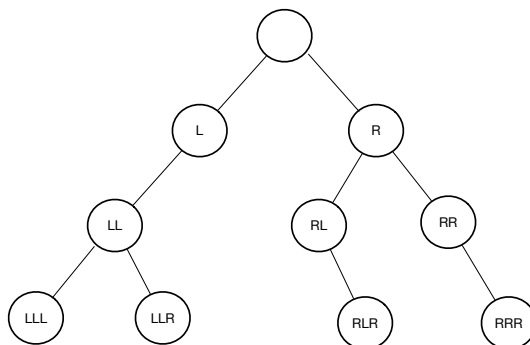
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RECURSIVEVALUE( $n$ )
  if  $n = 0$  then return 2
  if  $n = 1$  then return 5
  return  $2 \cdot \text{RECURSIVEVALUE}(n - 1) - \text{RECURSIVEVALUE}(n - 2)$ 

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- (a) Write a recurrence for the *value* returned by RECURSIVEVALUE. Use the annihilator method to solve the recurrence exactly, including the constants determined by the base cases, and check your answer.
- (b) Write a recurrence for the *running time* of RECURSIVEVALUE. Give a tight asymptotic bound on its solution.
4. Consider a rooted binary tree whose nodes are named as follows. The root is named by the empty string. If a node named σ has a left child, that child is named σL ; if it has a right child, that child is named σR .

Give a recurrence that returns the total number of occurrences of R across the names of all nodes. For example, the following tree contains 10 occurrences of R .



Hint: For a node v , let $f(v)$ be the number of occurrences of R in the names of nodes in the subtree rooted at v , assuming that the naming starts with the empty string at v . Let $\ell(v)$ and $r(v)$ be the left and right children of v , respectively, or NULL if the corresponding child does not exist. Finally, let $s(v)$ be the number of nodes in the subtree rooted at v , with $s(\text{NULL}) = 0$, and assume that these values are stored at the nodes. Give a base case and a recurrence for $f(v)$.